

APPENDIX

PRELIMINARY REQUIREMENTS ON CAPACITY AND EXPERIENCE OF INVESTORS REGISTERING FOR LAND-USING INVESTMENT PROJECTS

E-TBMQT No. (on the System):

Project: New Urban Area No. 01, Rung Thong Town, Dong Son District, Thanh Hoa Province.

Date of issue (according to E-TBMQT content on the System): **Issued together with the Decision** (according to E-TBMQT content on the System): H DỊCH THƯ Đ/C: 157 Trần Đi

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ABBREVIATIONS

Law on Investment	Law on Investment No. 61/2020/QH14 dated June 17, 2020				
Decree No. 25/2020/ND-CP	Decree No. 25/2020/ND-CP dated February 28, 2021 of the Bovernment detailing the implementation of a number of rticles of the Bidding Law on investor selection				
Decree No. 31/2021/ND-CP	becree No. 31/2021/ND-CP dated March 26, 2021 of the overnment guiding the Law on Investment				
Webform	Format the document according to the form available on the System				
E-HSÐKTHDA	Registration dossier for land-using investment project implementation online				
E-YCSBNLKN	Preliminary requirements on capacity and experience in land- using investment projects online				
E-TBMQT	Invitation notice for expression of interest in land-using investment projects online				

Chapter I

GUIDELINES FOR INVESTORS

Section 1. Content of invitation for expression of interest

1.1. The Department of Planning and Investment of Thanh Hoa Province invites investors to submit the E-HSĐKTHDA for the project of New Urban Area No. 1, Rung Thong Town, Dong Son District.

1.2. Project information:

1.2.1. Project objectives: Realize the approved 1/500 scale detailed construction planning; develop new residential areas, meeting the needs of housing and land to serve the lives and activities of people in the area, beautifying the urban face; mobilize investment capital from economic organizations to carry out site clearance and build synchronous infrastructure; contribute to promoting local socio-economic development.

1.2.2. Investment scale of the project:

a) Land use area: About 495,030.12 m².

b) Investment scale: Invest in complete and synchronous construction of technical infrastructure, social infrastructure, housing and other works according to the approved 1/500 scale detailed construction planning of the project, specifically:

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- Invest in complete construction of technical infrastructure with a land area of about 495,030.12 m² (levelling, roads, parking lots, water supply - drainage, electricity supply, lighting, trees – parks, fire protection, wastewater treatment stations).

- Invest in complete construction of social infrastructure projects, including: community houses (NVH-01, NVH-02); Inter-level school (preschool, primary school, secondary school).

- Invest in complete construction of market and commercial service works 01.

- Invest in construction of rough part and completion of the facades of 231 housing works, including: 64 shophouses, 165 townhouses, 02 villas as announced by the Provincial People's Committee in Official Dispatch No. 18611/UBND-CN dated December 08, 2023.

- Invest in complete construction of social housing works (NOXH-01, NOXH-02).

c) Preliminary structure of housing products:

- 231 shophouses, townhouses and commercial villas with rough construction, completion of the facades, including: 64 shophouses, 165 townhouses, 02 villas.

- 2,356 apartment-style social housing units will be completed (expected).

- 697 adjacent residential land lots and commercial villa residential land have land use rights transferred in the form of land subdivision and plot sale, including: 607 adjacent residential land lots, 90 villa land lots.

d) Population size: About 12,100 people.

d) Land fund for social housing development: 32,308.49 m².

1.2.3. Preliminary total cost of project implementation (project investment capital): About 4,939.125 billion VND (in words: four thousand nine hundred thirty-nine billion, one hundred twenty-five million Vietnamese dongs); in there:

a) Preliminary total cost of project implementation (excluding compensation, support, and resettlement costs) is 4,827.285 billion VND.

b) Preliminary costs for compensation, support, and resettlement of the project are 111.84 billion VND.

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1.2.4. Summary of basic requirements of the project

a) The investor is responsible for making synchronous investments in works according to the approved project investment scale and implementing construction investment plans and urban infrastructure management inside and outside the project scope according to the approved investment policy, specifically:

a.1) Regarding infrastructure within the project:

- The investor is responsible for making synchronous investment in works according to the approved detailed planning of the project, including work items according to the project investment scale (at point b, section 1.2. 2 mentioned above).

- After completing the investment in technical infrastructure and social infrastructure works (community houses 01, 02; parking lot; green park...) of the project, the investor will hand over the works and the waste transfer station land (HTKT-01) for the State to manage and use according to regulations. For shophouses, townhouses, villas, adjacent residential land, commercial villa residential land, social housing, inter-level schools, markets and commercial service works 01 under the project, after completing the investment in the project according to regulations, the investor is allowed to manage, do business and exploit according to the provisions of law.

a.2) For urban infrastructure outside the project scope: urban infrastructure works outside the project scope are managed by the State according to regulations.

b) The selected investor must mobilize 100% of legal capital to implement the project according to regulations. The investment capital of the above project is only expected, serving as a basis for the organization to select investors according to regulations; not used to calculate land use fees, land rents and financial obligations of the investor selected to implement the project, determination of land use fees and land rents of the project is carried out according to the provisions of land laws.

1.2.5. Investment term and progress

- Operational term of the project: 50 years (calculated from the date on which the investor decides to allocate land, decides to lease land, decides to change land use purpose); Buyers of housing attached to land use rights are entitled to long-term stable land use according to land laws.

- Project investment progress: no more than 5 years (from the date of approval of investor selection results or investor approval), expected:

+ Complete site clearance compensation and land allocation to the investor to implement the project; complete project investment preparation work: 18 months from the date of approval of investor selection results or investor approval.

+ Complete project construction investment: from the 19^{th} month to the 54^{th} month.

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+ Complete the project and put it into use, hand over the technical infrastructure and social infrastructure and finalize the project according to regulations: from the 55^{th} month to the 60^{th} month.

1.2.6. Project implementation location: Rung Thong Town, Dong Son District, Thanh Hoa Province; Specifically, the scope and boundaries are as follows:

- The Northeast borders the main urban road of Rung Thong Town.

- The Northwest borders the planned road.

- The Southeast and Southwest borders the Bac channel.

1.2.7. Land area: About 495,030.12 m².

1.2.8. Land use purpose: According to land allocation or land lease decisions of competent authorities.

1.2.9. Approved planning targets: According to Decision No. 1561/QD-UBND dated May 28, 2023 of People's Committee of Dong Son District on approving the detailed construction planning at 1/500 scale for New Residential Area No. 01, Rung Thong Town, Dong Son District; Specific planning criteria are summarized in the following table:

No.	Function	Mark	Area (m ²)	Building Density %	High-rise	Land use factor	Percentage (%)	Lot No.
Ι	Residential land		161,580.27				28.9	928
1	Villa residential land	BT	37,810.00	40-65	2-4	0.8-2.6	6.8	92
2	Adjacent residential land	LK	84,345.78	80-90	3-5	2.4-4.5	15.1	772
3	Shophouse land	SH	7,116.00	80-90	3-5	2.4-4.5	1.3	64
4	Social housing land	NOXH	32,308.49	30-35	15-25 (01- 02 basement (s))	4.5-8.75	5.8	
II	Public land		95,767.08	20-40	1-5	0.2-2.0	17.1	
1	Community house land 01	NVH-01	2,276.00	40	1-2	0.4-0.8	0.4	
2	Community house land 02	NVH-02	1,140.00	40	1-2	0.4-0.8	0.2	
3	School land	GD	19,808.04	30-40	1-5	0.3-2.0	3.5	
4	Market land	СС	30,677.95	30-40	1-4 (01 basement)	0.3-2.0	5.5	L
5	Stadium land	SVÐ	41,865.09	20-25	1-5	0.2-1.25	7.5	
ш	Commercial service land	DVTM	7,441.00	50-60	1-5	0.5-3.0	1.3	
1	Commercial service land 01	DVTM-01	7,441.00	50-60	1-5	0.5-3.0	1.3	
IV	Land for technical infrastructure works	i.	28,976.58	-	-	-	5.2	
1	Parking lot land 01	P-01	2,086.00	-	-	-	1	
2	Parking lot land 02	P-02	1,326.00	-	-	-		
3	Parking lot land 03	P-03	1,120.00	-	-	-		
4	Parking lot land 04	P-04	6,788.68	-	-	-		
5	Parking lot land 05	P-05	2,144.58			-		
6	Parking lot land 06	P-06	5,600.00	-	-	-		
7	Waste transfer station land	HTKT-01	855.07					
8	Wastewater treatment station land	HTKT-02	3,390.79					
9	Electricity branch land	ÐL	5,665.46	-	-	-		
v	Land for green trees - Sports	CX	55,662.99	5	1	0.1	10.0	
1	Land for green trees and sports 01	CXTT-01	2,333.00	5	1	0.1		
2	Land for green trees and sports 02	CXTT-02	2,280.00	5	1	0.1		
3	Land for green trees and sports 03	CXTT-03	1,202.89	5	1	0.1		
4	Land for green trees and sports 04	CXTT-04	4,279.07	5	1	0.1		
5	Parks, lakes, squares	CXCQ-01	33,978.53	5	1	0.1		
6	Land for landscape trees 02	CXCQ-02	3,532.06	5	1	0.1		

Total area of land			558,642.89				100
VI	Transport land		209,214.97				37.5
8	Land for isolated trees	CXCL-01	4,372.38	-	-	-	
7	Land for landscape trees 03	CXCQ-03	3,685.06	-	-	-	

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Land area for project implementation: 495,030.12 m² (excluding the land area of works: Stadium land; headquarters of Dong Son District Electricity (electricity branch land); current traffic roads in the detailed planning of the project with a total area of $63,612.77 \text{ m}^2$).

1.2.10. Current status of the land:

Current status of the project's planned land includes annual crop land (rice land): 452,886.10 m²; specialized water surface land (aquaculture): 25,518.90 (m²); cemetery land: 204.1 m²; current land of stadium, electricity headquarters land; road land; land for plot banks and infield canals: 16,421.02 m².

1.2.10. Deadline for submission of the E-HSDKTHDA: hour minute on, 2024 (guaranteed at least 30 days from the date on which the preliminary requirements on the investor's capacity and experience are posted).

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Section 2. Contents of E-YCSBNLKN

The E-YCSBNLKN includes requirements for financial capacity and experience. Detailed content follows Chapter II - Preliminary assessment of investors' capacity and experience

Section 3. Clarification of E-YCSBNLKN

3.1. In cases where the investors want to have the E-YCSBNLKN clarified, a request for clarification must be sent to the Department of Planning and Investment of Thanh Hoa Province by the investors via the System no later than 05 working days before the deadline for submitting the E-HSDKTHDA for consideration and processing

3.2. After receiving the written request for clarification within the stipulated time, the Department of Planning and Investment of Thanh Hoa Province will clarify and post the clarification on the System no later than 2 working days before the deadline for submitting the E-HSDKTHDA, including the content of clarification request without name of the requester. In cases where the clarification leads to the modification of the E-YCSBNLKN, it shall comply with the provisions of Section 4 of the Chapter.

Section 4. Modification of E-YCSBNLKN of investors

4.1. In case where modifications in the E-YCSBNLKN are proceed, the Department of Planning and Investment of Thanh Hoa Province shall post a decision on modifications with the detail of modifications on the System no later than 10 working days before the deadline for submitting the E-HSDKTHDA.

4.2. In case the time of publishing modifications of the E-YCSBNLKN does not meet the requirements in Section 4.1 of this Chapter, the Department of Planning and Investment of Thanh Hoa Province shall accordingly extend the deadline for submitting the E-HSĐKTHDA. The extension shall comply with the regulations of Section 6.3 of this Chapter.

Section 5. Applicable Language

The E-HSĐKTHDA as well as all correspondence and documents exchanged between the Department of Planning and Investment of Thanh Hoa Province and the investors related to the Invitation for Expression of Interest shall be written in Vietnamese.

Section 6. E-HSDKTHDA and deadline for submission

6.1. The E-HSĐKTHDA prepared by the investors must include forms and relevant documents as prescribed in Chapter III – Forms.

6.3. The Department of Planning and Investment of Thanh Hoa Province may extend the deadline for submitting the E-HSĐKTHDA in the following cases:

a) Modify the E-YCSBNLKN as prescribed in Section 4 of this Chapter. Upon extension, the Department of Planning and Investment of Thanh Hoa Province shall post an announcement on the System;

b) Need to increase in the required number of investors as prescribed at Point b, Section 9.2 of this Chapter. Upon extension, the Department of Planning and Investment of Thanh Hoa Province shall send an announcement to all investors who submitted their E-HSĐKTHDA and notify on the System. The extension must comply with the decision of the Chairman of the People's Committee of Thanh Hoa Province. The investors who havve satisfied the requirements do not have to resubmit the E-HSĐKTHDA.

Section 7. Modification, replacement, withdrawal of E-HSDKTHDA

7.1. After submission, the investors can modify, replace or withdraw their E-HSĐKTHDA on the System before the deadline for submission of the E-HSĐKTHDA.

7.2. After the deadline for submission of the E-HSĐKTHDA, the investors may not withdraw the E-HSĐKTHDA submitted

Section 8. Clarification of E-HSĐKTHDA, preliminary assessment of investors' capacity and experience

8.1. Clarification of E-HSĐKTHDA

The investors are responsible for:

a) Clarifying their E-HSĐKTHDA at the request of the Department of Planning and Investment of Thanh Hoa Province or;

b) Self-clarifying and supplementing documents proving the legal status, capacity and experience when the investors discover that the E-HSĐKTHDA lacks information and documents on their existing capability and experience, but have not been submitted along with the E-HSĐKTHDA yet. All requests for clarification and correspondence of investors must be made on the System.

The investor's written clarification will only be accepted if it is sent through the System before the announcement of the results of the preliminary assessment of the investor's capacity and experience. In case a clarifying document is sent after the time of announcement of preliminary assessment results of capacity and experience, the written clarification and accompanying information and documents (if any) will not be considered and evaluated.

8.2. Preliminary assessment on capacity and experience of investors

The preliminary assessment of capacity and experience of investors shall be based on the E-YCSBNLKN, E-HSĐKTHDA of investors and the documents clarifying the E-HSĐKTHDA (if any).

Section 9. Announcement of results of preliminary assessment of capacity and experience of investors

9.1. After assessing the preliminary assessment of capacity and experience of investors, the Department of Planning and Investment of Thanh Hoa Province shall post a list of qualified investors on the System.

9.2. Based on the assessment results, according to the provisions of Clause 3, Article 29 of Law on Investment and Clause 3, Article 29 of Decree No. 31/2021/ND-CP, in case only one investor qualifies the E-YCSBNLKN, and based on the project implementation progress, investment attraction objectives and other specific conditions of the project, the Chairman of the People's Committee of Thanh Hoa Province shall consider and decide under one of the following two options:

a) Option 1: Notify and guide the investors to submit the application for investor approval in accordance with the provisions of the investment law if the organizational process has ensured publicity, transparency, competition and the project requires an acceleration

b) Option 2: Extend the deadline for project implementation registration so that other potential investors have the opportunity to submit the E-HSĐKTHDA to increase competition. The extended time is decided by the Chairman of the People's Committee of Thanh Hoa Province. The investor who has met the requirements does not have to resubmit the E-HSĐKTHDA.

After the extension, based on the results of the preliminary assessment of capacity and experience, follow-up procedures shall comply with the

corresponding provisions in Clauses 4, 5 and 6, Article 13 of Decree No. 25/2020/ND-CP (as amended and supplemented in Clause 6, Article 108 of Decree No. 31/2021/ND-CP).

9.3. In case where there are at least two qualified investors, the selection of investors will be carried out in the form of open bidding as prescribed in Clause 1 and Clause 2, Article 10 of Decree No. 25/2020/ND-CP and Clause 3 Article 108 of Decree No. 31/2021/ND-CP. Bidding documents are widely released to investors, including ones that are not on the list of qualified investors meeting the E-YCSBNLKN.

CHAPTER II

PRELIMINARY EVALUATION OF INVESTOR'S CAPACITY AND EXPERIENCE

Section 1. Evaluation method

1.1. The preliminary evaluation of the investor's capacity and experience uses the pass-and-fail evaluation method. Investors are evaluated as meeting the E-YCSBNLKN when all criteria are evaluated as pass.

1.2. For Joint Venture investors:

a) The equity of the Joint Venture investor is equal to the total equity of Joint Venture members. At the same time, each Joint Venture member must meet the requirements corresponding to the equity contribution according to the Joint Venture agreement; if any member of the Joint Venture is evaluated as unqualified, the Joint Venture investor is evaluated as unqualified equity requirement.

The leading investor in the Joint Venture must have a minimum equity contribution ratio of 30%, each Joint Venture member must have a minimum equity contribution ratio of 15%.

b) The project implementation experience of the Joint Venture investor is equal to the total number of projects implemented by the Joint Venture members.

Section 2. Evaluation criteria

The evaluation of the investor's capacity and experience is made according to Table No. 01 below:

Table No. 01

No.	Content	Requirement				
1	Financial capacity	Minimum equity that an investor must arrange ⁽¹⁾ : 744,000,000 VND (in words:				

E-YCSBNLKN

No.	Content	Requirement
		seven hundred forty-four billion Vietnamese dongs)
2	Experience in implementing similar projects ⁽²⁾	or a member of a Joint Venture or a joint- implementation partner has participated in the role of investor contributing equity capital or main contractor: 01 type 1 project. The way to determine the project is as follows ⁽³⁾ :
		a) Type 1: Projects in the field of Urban areas; Shophouses in which the investor has participated in the role of an investor contributing equity, have been completed or completed mostly within the past 07 years and fully satisfies the following conditions:
		- Have a minimum total investment of ⁽⁴⁾ :
		2,500,000,000,000 VND (in words: Two thousand five hundred billion Vietnamese dongs).
	•	- The investor has contributed equity with a minimum value of ⁽⁵⁾ : 372,000,000,000 VND (in words: three hundred seventy-two billion Vietnamese dongs).
		b) Type 2: Projects in the field of Urban areas; Shophouses in which the investor has participated in the role of the main construction and installation contractor, have been completed or completed mostly within the past 5 years and has a minimum value of 1,240,000,000,000 VND (in words: one thousand two hundred and forty billion Vietnamese dongs).
		c) Loại 3: Dự án trong lĩnh vực đầu tư xây dựng Khu đô thị; Nhà ở thương mại mà đối tác tham gia với vai trò là nhà thầu chính xây lắp, đã hoàn thành hoặc hoàn thành phần lớn trong vòng 05 năm trở lại đây và có giá trị tối thiểu là 1.240.000.000 VNĐ (bằng chữ: một nghìn hai trăm bốn mươi tỷ đồng).
		nghìn hai trăm bốn mươi tỷ đồng). Type 3: Projects in the field of Urban a

No.	Content	Requirement		
		Shophouses in which the partner has participated in the role of the main construction and installation contractor, have been completed or completed mostly within the past 5 years and has a minimum value of 1,240,000,000,000 VND (in words: one thousand two hundred and forty billion Vietnamese dongs).		

Note:

(1) The investor's equity is determined on the basis of the investor's financial data in the investor's latest year's financial statements audited by an independent audit agency and audited interim financial statements in accordance with the law (if any). In case the investor is a newly established organization in the assessment year, the investor's equity is determined based on the financial statements audited by an independent audit agency for the period from the date of establishment to the time of bid closing or the audited interim financial statement at the latest point of time prior the time of bid closing as prescribed by law. In case the owner's representative, owner or parent company contributes equity, the owner's representative, owner or parent company must have a written commitment and financial statements evidencing sufficient equity to contribute capital according to the financial plan of the project.

In case, at the same time, the investor participates in investing in many projects and other long-term financial investments (if any), the investor makes a portfolio of projects and other long-term financial investments, to ensure that the investor's total equity is sufficient for the entire amount of equity that the investor commits to perform for all projects and other long-term financial investments as prescribed.

At the time of signing a project contract, the investor is responsible for submitting a plan to competent state agencies to ensure adequate mobilization of equity capital and providing documents proving the satisfaction of equity requirements as specified in the project contract.

The investor must declare information and provide documents on financial capacity according to Form No. 02 Chapter III – Form,

(2) Investor experience:

(i) Projects and bidding packages implemented by the investor must satisfy the technical requirements and quality requirements under the signed contract to be considered and evaluated

(ii) Completed or mostly completed: The project or bidding package has been accepted for the construction item or project or is in the operating stage or has ended according to regulations

(3) The investor must provide their experience according to Form No. 03 Chapter III – Form. The investor is only allowed to cite their experience in implementing a similar project once. In case of using a partner, there must be a cooperation agreement signed between the leading investor in the Joint Venture and the partner.

Method of converting projects: 01 project of type 2, 3 is equal to 0.5 project of type 1. In case the number of projects, after conversion, is not an integer, the rounding shall be as follows: the decimal part \leq 0.5, it is calculated as 0, the decimal part > 0.5, it is calculated as 01 project.

(4) (5) (6) For projects that have not yet clearly determined the value of the total investment (or the value of construction and installation tasks) in the approval documents and project contracts, the investor must provide proving documents to determine this value.

(*)The investor must be responsible before the law for the truthfulness and accuracy of the registration dossier for project implementation and for damages arising from the act of declaring inaccurate information, falsifying the contents of dossiers and documents during the project implementation.

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CHAPTER III. FORMS

1. Form No. 01: Information about investor and joint-implementation partner

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- 2. Form No. 02: Investor's financial capacity
- 3. Form No. 03: Experience in implementing similar projects
- 4. Form No. 04: Joint Venture agreement

FORM NO. 01

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INFORMATION ON INVESTOR AND JOINT-IMPLEMENTATION PARTNER

I. Information on investor/Joint Venture member⁽¹⁾

1. Name of investor/Joint Venture member:

2. Country where the investor/Joint Venture member's operation is registered:

3. Year of establishment:

4. Legal address of investor/Joint Venture member in the country of registration:

5. Information on legal representative of the investor/Joint Venture member:

- Name:

- Address:

- Telephone/fax:

- Email:

6. Investor's organization chart [The Investor attaches diagrams to the System]

II. Information on joint-implementation partner

No.	Name ⁽²⁾	Country for operation registration	Role ⁽³⁾	Legal representative	Contract or written agreement with partner ⁽⁴⁾
1	Company 1		[Financial provider]		
2	Company 2		[Building contractor]		
3	Company 3		[Operating Contractor/Manager]		

Note:

(1) In case of Joint Venture investor, each Joint Venture member must declare according to this Form. The investor/Joint Venture member needs to submit a photocopy of one of the following documents: Business registration certificate,

establishment decision or equivalent document issued by the competent authority of the country in which the investor is operating.

(2) The investor shall specify names of partners participating in the project implementation.

(3) The investor shall specify the participating role of each partner.

(4) The investor shall specify contract or written agreement number, enclosed with certified copies of such documents.

FORM NO. 02

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INVESTOR'S FINANCIAL CAPACITY⁽¹⁾

1. Name of investor/Joint Venture member:

2. Information on financial capacity of investor/Joint Venture member⁽²⁾:

a) Summary of financial data⁽³⁾:

No.	Content	Value
Ι	Equity committed to use for the project	
Π	Figures on investors' equity	
1	Total equity	
2	Litigation-related expenses (if any)	
3	Committed equity for ongoing projects ⁽⁴⁾ (excluding capital already disbursed for ongoing projects) and other long-term financial investments (if any)	
4	Equity must be retained according to regulations	

b) Attachments⁽⁵⁾

- The investor's Financial statements for the latest year which have been audited by an independent audit agency and the audited interim financial statements in accordance with law (if any). - In case the investor is a newly established organization during the year, the investor's equity is determined based on the Financial statements audited by an independent audit agency for the period from the date of establishment to the time of bid closing or the audited interim Financial statement at the latest time before the time of bid closing as prescribed by law. In case the owner's representative, owner or parent company contributes equity, the owner's representative, owner or parent company must have a written commitment and financial statements evidencing sufficient equity to contribute capital according to the project's financial plan.

Financial statements provided by the investor must ensure compliance with legal regulations on finance and accounting, and reflect the separate financial position of the investor or a Joint Venture member (if the investor is a Joint Venture) but not the financial position of an associate such as a parent company or a subsidiary or an affiliated company with the investor or a Joint Venture member.

- Project portfolio and other long-term financial investments in case at the same time the investor participates in investing in many projects and other long-term financial investments (if any).

Note:

(1) In case of Joint Venture investor, each Joint Venture member must declare according to this Form.

(2) The investor shall be responsible to the law for the accuracy and legality of provided data and documents related to equity, allocation of equity to projects and long-term financial investments under implementation. In case it is found that the declared information is incorrect, falsifying the evaluation results, it will be considered fraudulent according to the provisions of Clause 4, Article 89 of the Bidding Law and disqualified.

(3) Based on the evaluation standards, the Procuring Entity adds appropriate information.

(4) In case, at the same time the investor participates in investing in many projects and other long-term financial investments (if any), the investor shall make a portfolio of projects and other long-term financial investments, ensuring that the total equity of the investor is sufficient for the entire amount of equity committed by the investor to perform for all projects and other long-term financial investments as prescribed.

(5) Based on the evaluation standards, the Procuring Entity can supplement the documents that the investor must submit to prove financial capacity (for example: minutes of tax finalization inspection, tax self-finalization declaration; documents proving that the investor has declared electronic tax finalization; written confirmation from the tax authority;...).

FORM NO. 03

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EXPERIENCE IN IMPLEMENTING SIMILAR PROJECTS (1)

[Investor lists experience in implementing similar projects at the request of the bid solicitor]

1. Project No. 01: _____ [insert project name]

Nan	ne of investor/joint venture member/partner implementing the project
1	Contract No.: Date of signing:
2	Name of project/bidding package:
3	Investment field of the project
4	Participate in the project as:
	□ Independent investor □ Joint venture member
	Main construction contractor
5	Name of competent state agency/representative of competent state agency (for project); employer/employer's representative (for bidding packages)
	Address:
	Name of contact person:
	Tel.:
	Fax:
	Email:
6	Details
6.1	In case of participating in project implementation as an investor
	Progress and quality of project implementation ⁽²⁾ :
	□ Currently in the construction phase, some items have been accepted
	□ The project has been accepted
	□ Currently in operation phase
	Finished

	Capital contribution ratio (in case the investor is a joint venture):
	Equity raised:
	Brief description of the similarities between this implemented project and the project for which investors are being selected:
	- Brief description of the work performed:
	- Value of work(s) performed:
	(Value and currency) equivalent to VND
	- Brief description of the remaining work to be done:
	- Value of the remaining work(s) to be done:
	(Value and currency) equivalent to VND
	History of disputes and litigation:
	Brief description of special technical/operational requirements:
6.2	In case of participating in project implementation as main contractor
	Scope of work performed:
	Value of work performed:
	Progress and quality of implementation ⁽³⁾ :
	History of disputes and litigation:
	Brief description of special technical/operational requirements:

2. Project No. 02: ____ [insert project name]

...

Note:

(1) The investor must truthfully declare information as requested; At the same time, relevant records and documents must be provided at the request of the Department of Planning and Investment of Thanh Hoa Province during the process of evaluating the investor's capacity and experience.

(2), (3) The investor must provide documents proving the declared information and the progress and quality of contract performance such as notarized copies of the contract, acceptance, contract liquidation, confirmation by competent state agency/representative of competent state agency (for projects), employer/employer's representative (for bidding packages)...

FORM NO. 04

Joint Venture agreement

Date: ____ [The system automatically extracts]

Pursuant to ____ [Insert legal basis]

Pursuant to ____ [Insert legal basis]

Pursuant to E-TBMQT for the project: _____ [The system automatically extracts] with E-TBMQT No.: ____ [The system automatically extracts]

Chúng tôi, đại diện cho các bên ký thỏa thuận liên danh, gồm có:

We, representing the parties signing the joint venture agreement, include:

Name of first joint venture member: [*The system automatically extracts the name of each joint venture member*]

- Country where operations are registered:

- Tax code: [The system automatically extracts]

- Address:

- Tel:

- Fax:

- Email:

- Legal representative:

- Position:

Name of second joint venture member: [*The system automatically extracts the name of each joint venture member*]

- Country where operations are registered:

- Tax code: [The system automatically extracts]

- Address:

- Tel:

- Fax:

- Email:

- Legal representative:

- Position:

.....

Name of fifth joint venture member: [*The system automatically extracts the name of each joint venture member*]

- Country where operations are registered:

- Tax code: [The system automatically extracts]

- Address:

- Tel:

- Fax:

- Email:

- Legal representative:

- Position:

The parties (hereinafter referred to as members) agree to execute the joint venture agreement with the following terms and conditions:

Article 1. General principles

1. The members voluntarily form a joint venture to participate in investor selection for the project _____ [*The system automatically extracts project name*].

2. The members agree that the name of the joint venture for all transactions related to the project is: _____ *[insert name of the joint venture].*

Transaction address of the Joint Venture:

Tel:

Fax:

Email:

Representative of the Joint Venture:

3. The members commit that no member is allowed to independently participate in or enter into a joint venture with another investor to participate in investor selection for the project.

Article 2. Assignment of responsibilities

The members agree to assign responsibilities to carry out tasks during the process of request for expression of interest for the project _____ [The system automatically extracts project name] as follows:

1. The parties agree that _____ *[insert name of a member]* is the lead member of the joint venture, representing the joint venture to perform the following tasks:

- Use accounts and digital certificates to submit E-HSDKTHDA for the entire joint venture.

[The lead member of the joint venture can represent the joint venture to perform one or more of the following tasks:

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- Sign papers and documents for transactions with the bid solicitor during the pre-qualification process, request clarification of E-YCSBNLKN and explain and clarify E-HSDKTHDA;

- Sign papers and documents for transactions with the Department of Planning and Investment, including documents requesting clarification of E-YCSBNLKN and explanation and clarification of E-HSĐKTHDA.

- Sign petition documents in investor selection (if any);

- Other jobs, except signing contracts (specify the content of the jobs, if any)].

		Role]	Equity
No.	Name of member	[Insert the work that the member undertakes (financial arrangements, construction, management, operation, etc.]	Value	Ratio %

2. Roles and responsibilities of joint venture members ⁽¹⁾:

		Total	100%
3	Member 3		[minimum 15%]
2	Member 2		[minimum 15%]
	Member 1 [Lead member of the joint venture]		[minimum 30%]

Article 3. Effect of joint venture agreement

1. The joint venture agreement takes effect from the date it is signed.

2. The joint venture agreement will be terminated in the following cases:

- The parties agree to terminate;

- There is a change in joint venture members. In this case, if the change in joint venture members is approved by the bid solicitor, the parties must establish a new joint venture agreement;

- The joint venture does not meet E-YCSBNLKN;

- Announcement of the project list is terminated;

This joint venture agreement is made based on the approval of all members.

LEGAL REPRESENTATIVE OF LEAD MEMBER OF JOINT VENTURE

[confirmation, digital signature]

LEGAL REPRESENTATIVE OF JOINT VENTURE MEMBER

[confirmation, digital signature]

Note:

(1) The investor must list the role and equity contribution ratio of each joint venture member.